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		STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO Aug 4 20 15
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10	BEFORE THE MEDICAL BOARD OF CALIFORNIA	
11	DEPARTMENT OF	CONSUMER AFFAIRS CALIFORNIA
12	STATE OF	
13	In the Matter of the Accusation Against:	Case No. 04-2013-230218
14 15	JERRELL LAWRENCE BORUP, M.D. 4439 Old River Street Oceanside, CA 92057	ACCUSATION
16 17	Physician's and Surgeon's License No. A35641,	
18	Respondent	
19	Complainant alleges:	_
20	<u>PARTIES</u>	
21	1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official	
22	capacity as the Executive Director of the Medical Board of California, Department of Consumer	
23	Affairs (Board).	
24	2. On or about July 23, 1980, the Medical Board issued Physician's and Surgeon's	
25	License Number A35641 to Jerrell Lawrence Borup, M.D. (Respondent). The Physician's and	
26	Surgeon's License was in full force and effect at all times relevant to the charges brought herein	
27	and will expire on December 31, 2015, unless renewed.	
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JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, be placed on probation and required to pay the costs of probation monitoring, be publicly reprimanded and ordered to complete relevant educational courses, or have such other action taken in relation to discipline as the Board or an administrative law judge deems proper.
 - 5. Section 3501¹ of the Code states:
 - "(1) 'Board' means the Physician Assistant Board.

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- "(4) 'Physician assistant' means a person who meets the requirements of this chapter and is licensed by the board.
- "(5) 'Supervising physician' means a physician and surgeon licensed by the Medical Board of California or by the Osteopathic Medical Board of California who supervises one or more physician assistants, who possesses a current valid license to practice medicine, and who is not currently on disciplinary probation for improper use of a physician assistant.
- "(6) 'Supervision' means that a licensed physician and surgeon oversees the activities of, and accepts responsibility for, the medical services rendered by a physician assistant.

¹ California Senate Bill 1236, chapter 332, resulted in minor revisions to Business and Professions Code sections 3501 and 3502 which became effective January 1, 2013. These revisions primarily dealt with changing the designation of the Physician Assistant Committee to the Physician Assistant Board and making various conforming changes relative to the change in designation. (See Stats. 2012, c.332 (S.B. 1236, § 27).)

"(7) 'Regulations' means the rules and regulations as set forth in Chapter 13.8 (commencing with Section 1399.500) of Title 16 of the California Code of Regulations.

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- "(10) 'Delegation of services agreement' means the writing that delegates to a physician assistant from a supervising physician the medical services the physician assistant is authorized to perform consistent with subdivision (a) of Section 1399.540 of Title 16 of the California Code of Regulations.
- "(11) 'Other specified medical services' means tests or examinations performed or ordered by a physician assistant practicing in compliance with this chapter or regulations of the Medical Board of California promulgated under this chapter.
- "(b) A physician assistant acts as an agent of the supervising physician when performing any activity authorized by this chapter or regulations adopted under this chapter."

6. Section 3502 of the Code states:

- "(a) Notwithstanding any other provision of law, a physician assistant may perform those medical services as set forth by the regulations when the services are rendered under the supervision of a licensed physician and surgeon who is not subject to a disciplinary condition imposed by the board prohibiting that supervision or prohibiting the employment of a physician assistant.
- "(b) Notwithstanding any other provision of law, a physician assistant performing medical services under the supervision of a physician and surgeon may assist a doctor of podiatric medicine who is a partner, shareholder, or employee in the same medical group as the supervising physician and surgeon. A physician assistant who assists a doctor of podiatric medicine pursuant to this subdivision shall do so only according to patient-specific orders from the supervising physician and surgeon. [¶] The supervising physician and surgeon shall be physically available to

the physician assistant for consultation when such assistance is rendered. A physician assistant assisting a doctor of podiatric medicine shall be limited to performing those duties included within the scope of practice of a doctor of podiatric medicine.

- "(c)(1) A physician assistant and his or her supervising physician and surgeon shall establish written guidelines for the adequate supervision of the physician assistant. This requirement may be satisfied by the supervising physician and surgeon adopting protocols for some or all of the tasks performed by the physician assistant. The protocols adopted pursuant to this subdivision shall comply with the following requirements:
 - "(A) A protocol governing diagnosis and management shall, at a minimum, include the presence or absence of symptoms, signs, and other data necessary to establish a diagnosis or assessment, any appropriate tests or studies to order, drugs to recommend to the patient, and education to be provided to the patient.
 - "(B) A protocol governing procedures shall set forth the information to be provided to the patient, the nature of the consent to be obtained from the patient, the preparation and technique of the procedure, and the follow-up care.
 - "(C) Protocols shall be developed by the supervising physician and surgeon or adopted from, or referenced to, texts or other sources.
- "(D) Protocols shall be signed and dated by the supervising physician and surgeon and the physician assistant.
- "(2) The supervising physician and surgeon shall review, countersign, and date a sample consisting of, at a minimum, 5 percent of the medical records of patients treated by the physician assistant functioning under the protocols within 30 days of the date of treatment by the physician assistant. The physician and surgeon shall select for review those cases that by diagnosis, problem, treatment, or procedure represent, in his or her judgment, the most significant risk to the patient.

- "(3) Notwithstanding any other provision of law, the Medical Board of California or board may establish other alternative mechanisms for the adequate supervision of the physician assistant.
- "(d) No medical services may be performed under this chapter in any of the following areas:
- "(1) The determination of the refractive states of the human eye, or the fitting or adaptation of lenses or frames for the aid thereof.
- "(2) The prescribing or directing the use of, or using, any optical device in connection with ocular exercises, visual training, or orthoptics.
- (3) The prescribing of contact lenses for, or the fitting or adaptation of contact lenses to, the human eye.
- "(4) The practice of dentistry or dental hygiene or the work of a dental auxiliary as defined in Chapter 4 (commencing with Section 1600).
- "(e) This section shall not be construed in a manner that shall preclude the performance of routine visual screening as defined in Section 3501."
 - 7. Section 2052 of the Code, states:
- "(a) Notwithstanding Section 146, any person who practices or attempts to practice, or who advertises or holds himself or herself out as practicing, any system or mode of treating the sick or afflicted in this state, or who diagnoses, treats, operates for, or prescribes for any ailment, blemish, deformity, disease, disfigurement, disorder, injury, or other physical or mental condition of any person, without having at the time of so doing a valid, unrevoked, or unsuspended certificate as provided in this chapter [Chapter 5, the Medical Practice Act], or without being authorized to perform the act pursuant to a certificate obtained in accordance with some other provision of law, is guilty of a public offense, punishable by a fine not exceeding ten thousand dollars (\$10,000), by imprisonment pursuant to subdivision (h) of Section 1170 of the Penal Code, by imprisonment in the state prison, by imprisonment in a county jail not exceeding one year, or by both the fine and either imprisonment.

- "(b) Any person who conspires with or aids or abets another to commit any act described in subdivision (a) is guilty of a public offense, subject to the punishment described in that subdivision.
- "(c) The remedy provided in this section shall not preclude any other remedy provided by law."

8. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

"(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.

"(f) Any action or conduct which would have warranted the denial of a certificate.

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- 9. Unprofessional conduct under California Business and Professions Code section 2234 is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine.²
 - 10. Section 2264 of the Code, states:

"The employing, directly or indirectly, the aiding, or the abetting of any unlicensed person or any suspended, revoked, or unlicensed practitioner to engage in the practice of medicine or any other mode of treating the sick or afflicted which requires a license to practice constitutes unprofessional conduct."

11. Section 2271 of the Code, states:

"Any advertising in violation of Section 17500 relating to false or misleading advertising, constitutes unprofessional conduct.

- 12. Section 651 of the Code, states:
- "(a) It is unlawful for any person licensed under this division or under any initiative act referred to in this division to disseminate or cause to be disseminated any form of public communication containing a false, fraudulent, misleading, or deceptive statement, claim, or image for the purpose of or likely to induce, directly or indirectly, the rendering of professional services or furnishing of products in connection with the professional practice or business for which he or she is licensed. A "public communication" as used in this section includes, but is not limited to, communication by means of mail, television, radio, motion picture, newspaper, book, list or directory of healing arts practitioners, Internet, or other electronic communication.

² Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.

- "(b) A false, fraudulent, misleading, or deceptive statement, claim, or image includes a statement or claim that does any of the following:
 - "(1) Contains a misrepresentation of fact.
- "(2) Is likely to mislead or deceive because of a failure to disclose material facts.

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"(5) Contains other representations or implications that in reasonable probability will cause an ordinarily prudent person to misunderstand or be deceived.

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- "(8)(e) Any person so licensed may not use any professional card, professional announcement card, office sign, letterhead, telephone directory listing, medical list, medical directory listing, or a similar professional notice or device if it includes a statement or claim that is false, fraudulent, misleading, or deceptive within the meaning of subdivision (b).
 - "(g) Any violation of this section by a person so licensed shall constitute good cause for revocation or suspension of his or her license or other disciplinary action.

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13. Section 17500 of the Code states:

"It is unlawful for any person, firm, corporation or association, or any employee thereof with intent directly or indirectly to dispose of real or personal property or to perform services, professional or otherwise, or anything of any nature whatsoever or to induce the public to enter into any obligation relating thereto, to make or disseminate or cause to be made or disseminated before the public in this state, or to make or disseminate or cause to be made or disseminated from this state before the public in any state, in any newspaper or other publication, or any advertising device, or by public outcry or proclamation, or in

any other manner or means whatever, including over the Internet, any statement, concerning that real or personal property or those services, professional or otherwise, or concerning any circumstance or matter of fact connected with the proposed performance or disposition thereof, which is untrue or misleading, and which is known, or which by the exercise of reasonable care should be known, to be untrue or misleading, or for any person, firm, or corporation to so make or disseminate or cause to be so made or disseminated any such statement as part of a plan or scheme with the intent not to sell that personal property or those services, professional or otherwise, so advertised at the price stated therein, or as so advertised. Any violation of the provisions of this section is a misdemeanor punishable by imprisonment in the county jail not exceeding six months, or by a fine not exceeding two thousand five hundred dollars (\$2,500), or by both that imprisonment and fine."

14. California Code of Regulations, title 16, section 1399.540, states:

"(a) A physician assistant may only provide those medical services which he or she is competent to perform and which are consistent with the physician assistant's education, training, and experience, and which are delegated in writing by a supervising physician who is responsible for the patients cared for by that physician assistant.

"(b) The writing which delegates the medical services shall be known as a delegation of services agreement. A delegation of services agreement shall be signed and dated by the physician assistant and each supervising physician. A delegation of services agreement may be signed by more than one supervising physician only if the same medical services have been delegated by each supervising physician. A physician assistant may provide medical services pursuant to more than one delegation of services agreement.

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"(d) A physician assistant shall consult with a physician regarding any task, procedure or diagnostic problem which the physician assistant determines exceeds his or her level of competence or shall refer such cases to a physician."

15. California Code of Regulations, title 16, section 1399.541, states:

"Because physician assistant practice is directed by a supervising physician, and a physician assistant acts as an agent for that physician, the orders given and tasks performed by a physician assistant shall be considered the same as if they had been given and performed by the supervising physician. Unless otherwise specified in these regulations or in the delegation or protocols, these orders may be initiated without the prior patient specific order of the supervising physician. In any setting, including for example, any licensed health facility, out-patient settings, patients' residences, residential facilities, and hospices, as applicable, a physician assistant may, pursuant to a delegation and protocols where present:

- "(a) Take a patient history; perform a physical examination and make an assessment and diagnosis therefrom; initiate, review and revise treatment and therapy plans including plans for those services described in Section 1399.541(b) through Section 1399.541(i) inclusive; and record and present pertinent data in a manner meaningful to the physician.
- "(b) Order or transmit an order for x-ray, other studies, therapeutic diets, physical therapy, occupational therapy, respiratory therapy, and nursing services.
- "(c) Order, transmit an order for, perform, or assist in the performance of laboratory procedures, screening procedures and therapeutic procedures.
- "(d) Recognize and evaluate situations which call for immediate attention of a physician and institute, when necessary, treatment procedures essential for the life of the patient.
- "(e) Instruct and counsel patients regarding matters pertaining to their physical and mental health. Counseling may include topics such as medications, diets, social habits, family planning, normal growth and development, aging, and

understanding of and long-term management of their diseases.

- "(f) Initiate arrangements for admissions, complete forms and charts pertinent to the patient's medical record, and provide services to patients requiring continuing care, including patients at home.
- "(g) Initiate and facilitate the referral of patients to the appropriate health facilities, agencies, and resources of the community.
- "(h) Administer or provide medication to a patient, or issue or transmit drug orders orally or in writing in accordance with the provisions of subdivisions (a)-(f), inclusive, of Section 3502.1 of the Code.
- "(i)(1) Perform surgical procedures without the personal presence of the supervising physician which are customarily performed under local anesthesia. Prior to delegating any such surgical procedures, the supervising physician shall review documentation which indicates that the physician assistant is trained to perform the surgical procedures. All other surgical procedures requiring other forms of anesthesia may be performed by a physician assistant only in the personal presence of an approved supervising physician.
- "(2) A physician assistant may also act as first or second assistant in surgery under the supervision of an approved supervising physician."
- 16. California Code of Regulations, title 16, section 1399.542, states:

"The delegation of procedures to a physician assistant under Section 1399.541, subsections (b) and (c) shall not relieve the supervising physician of primary continued responsibility for the welfare of the patient."

- 17. California Code of Regulations, title 16, section 1399.545, states:
- "(a) A supervising physician shall be available in person or by electronic communication at all times when the physician assistant is caring for patients.
- "(b) A supervising physician shall delegate to a physician assistant only those tasks and procedures consistent with the supervising physician's specialty or usual and customary practice and with the patient's health and condition.

- "(c) A supervising physician shall observe or review evidence of the physician assistant's performance of all tasks and procedures to be delegated to the physician assistant until assured of competency.
- "(d) The physician assistant and the supervising physician shall establish in writing transport and back-up procedures for the immediate care of patients who are in need of emergency care beyond the physician assistant's scope of practice for such times when a supervising physician is not on the premises.
- "(e) A physician assistant and his or her supervising physician shall establish in writing guidelines for the adequate supervision of the physician assistant which shall include one or more of the following mechanisms:
 - "(1) Examination of the patient by a supervising physician the same day as care is given by the physician assistant;
 - "(2) Countersignature and dating of all medical records written by the physician assistant within thirty (30) days that the care was given by the physician assistant;
 - "(3) The supervising physician may adopt protocols to govern the performance of a physician assistant for some or all tasks. The minimum content for a protocol governing diagnosis and management as referred to in this section shall include the presence or absence of symptoms, signs, and other data necessary to establish a diagnosis or assessment, any appropriate tests or studies to order, drugs to recommend to the patient, and education to be given the patient. For protocols governing procedures, the protocol shall state the information to be given the patient, the nature of the consent to be obtained from the patient, the preparation and technique of the procedure, and the follow-up care. Protocols shall be developed by the physician, adopted from, or referenced to, texts or other sources. Protocols shall be signed and dated by the supervising physician and the physician assistant. The supervising physician shall review, countersign, and date a minimum of 5% sample of medical records of patients treated by the physician

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Physician Assistant R.D. sought out another physician to fill the role as his new "supervising		
physician," and as the "Medical Director" in furtherance of the Pacific Liposculpture ⁴ enterprise.		
An advertisement was placed on Craigslist and responses were received from approximately five		
candidates, one of whom was respondent. The list of potential candidates was narrowed down to		
respondent and another physician with one of the two to be selected by Physician Assistant R.D.		
as the "supervising physician" and "Medical Director" for Pacific Liposculpture. As made clear		
during the course of discussions, respondent had no interest in being involved in patient care or		
performing any of the liposuction procedures. Indeed, respondent had been retired and indicated		
he was physically limited in his ability to practice medicine based on a previous health condition		
After Physician Assistant R.D. and respondent met with each other, they entered into their		
business arrangement concerning Pacific Liposculpture. Respondent was selected as the		
"supervising physician" and "Medical Director" primarily because he had no interest in being		
involved in patient care or in performing any of the liposuction procedures. A delegation of		
services agreement was prepared and it was agreed between the two that Physician Assistant R.D.		
would perform all of the liposuction procedures at Pacific Liposculpture.		
21. On or about December 21, 2010, respondent applied for a fictitious name permit		
(END) for the hydrogeness of Decific Lineary lature, which also had the hydrogeness location of		

After issues arose with Physician Assistant R.D.'s former "supervising physician,"

- (FNP) for the business name of Pacific Liposculpture, which also had the business location of 8899 University Center Lane, Suite 250, San Diego, CA 92122. The FNP request was approved by the Board, effective January 14, 2011, with an expiration date of January 30, 2013, unless renewed. According to Physician Assistant R.D., he was employed by Pacific Liposculpture as an independent contractor under his dba name of Davis Medical, wherein he performed "all the lipo procedures" at Pacific Liposculpture.
- 22. During the time that respondent was the supervising physician of Physician Assistant R.D., and the Medical Director of Pacific Liposculpture, he had no set work days, he never

⁴ Unless otherwise noted, Pacific Liposculpture shall generally refer to the Pacific Liposculpture operation including, but not limited to, Pacific Liposculpture, Pacific Liposculpture, Inc., Davis Medical, and respondent and Physician Assistant R.D., as individuals.

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consulted with any of the patients prior to their procedures, never performed any of the liposuction procedures, was not involved in any of the post-operative care of the Pacific Liposculpture patients, and his "supervision" consisted primarily of periodic review of the patient records.⁵

23. Pacific Liposculpture advertised, among other things, that "our team is comprised of only the most skilled medical professionals who long ago decided to specialize in advanced liposculpture (lipo) techniques" and our "body contouring procedures achieve amazing results in a spa-like outpatient setting." The Pacific Liposculpture's website identified respondent as "your Pacific Liposculpture Medical Director" and touted that he was "an accomplished board certified physician with more than 20 years experience" and that he, "along with his highly trained liposuction team, will help to minimize your risks while offering you the best possible care all under local anesthesia." The website further advertised that "[b]ecause of [respondent's] advanced training and experience in liposuction technology, Pacific Lipo's procedures significantly reduce pain, swelling and bruising, while providing you with smoother results, tighter skin, permanent improvement and no unsightly scars." Pacific Liposculpture's advertising further proclaimed that "Dr. Borup supervises a team of highly trained liposuctionists with a combined experience of well over 10,000 lipo procedures" and "[a]s Medical Director of Pacific Liposculpture, Dr. Borup offers patients a lifetime of experience and knowledge in his state-ofthe-art outpatient surgical setting." The Pacific Liposculpture advertising concerning respondent was false and misleading. Respondent, in truth and fact, did not specialize in any advanced liposuction techniques, did not have advanced training and experience in liposuction technology,

⁵ As part of the Board's investigation of this matter, respondent appeared for a physician interview on July 29, 2014, and was asked, among other things, "what sort of tasks would you perform in regards to supervising his [Physician Assistant R.D.'s] work? In response to the question, respondent stated "I would mostly review charts…and if he [Physician Assistant R.D.] had any specific questions, I would answer them." When asked "Did you do anything else besides chart review as far as… supervising [Physician Assistant R.D.]? Respondent answered "Just stick my head in once in a while and see [how] things are going, and ask him how things are." When asked how many hours that Physician Assistant R.D. worked at Pacific Liposculpture, respondent replied "that's hard to say. I really don't know. I think you'd have to ask him." When asked "when you were there [at Pacific Liposculpture] in that time frame, 2010 to 2013, how many employees approximately were working there? Do you know?," respondent answered, "I have no idea."

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did not supervise a highly trained team of liposuctionists, and the "outpatient surgical setting" was not "his" and it was not "state-of-the art." In truth and fact, respondent was an anesthesiologist, and not a formally trained surgeon, he had not practiced medicine for approximately ten years because he had been recovering from a medical condition, and his training in liposuction was limited to a weekend course in Florida that he took in September 2010. The "highly trained team of liposuctionists" consisted solely of Physician Assistant R.D. whose "qualifications" were dubious, at best, as discussed more fully below. Moreover, respondent never had any intention of performing any liposuction procedures at Pacific Liposculpture and, in truth and fact, he never performed a single liposuction procedure for the three years he was the Medical Director at Pacific Liposculpture. Instead, respondent delegated all of the liposuction surgeries to Physician Assistant R.D., who held the title of "Director of Surgery" at Pacific Liposculpture. The advertised "state of the art surgery center" was not an accredited surgery center and consisted of a single room where the liposuctions were performed. The "surgery center" contained equipment that Physician Assistant R.D. acquired through his management services organization (MSO) and did not have a fully stocked crash cart to be used in case of a medical emergency.

24. Physician Assistant R.D., who performed all of the liposuction procedures while respondent was his "supervising" physician, has no formal surgical training. As a physician assistant, he has not attended an accredited medical school nor has he ever finished a medical internship program, surgical residency program or any fellowship program in cosmetic and/or plastic surgery as his "Director of Surgery" title implies. According to Physician Assistant R.D.'s curriculum vitae, he received his "cosmetic surgery" experience as physician assistant while working at Beverly Hills Liposculpture and then with a Dr. K.C. Beverly Hills Liposculpture was established by Dr. C.B., a radiologist, who ultimately surrendered his medical license after being convicted of practicing medicine without a license by aiding and abetting the practice of medicine

⁶ Physician Assistant R.D.'s curriculum vitae omits the name of Dr. C.B. while his curriculum vitae lists the names of the other physicians that respondent was associated with in performing liposuction procedures.

25. Pacific Liposculpture advertises heavily through various forums, including the internet and social media, and offers various package deals including, but not limited to, the "Pacific Mommy Makeover" which offers "Upper and Lower Abdomen Love Handles, Flanks and Hips for \$5,995 – All Inclusive*" and the "Pacific Manly Makeover" which offers "Upper and Lower Abdomen Love Handles, Flanks and Chest for \$6,500 – All Inclusive*" Pacific Liposculpture also advertises how patients can "Get Free Lipo With These Easy Steps" which includes registering by filling out the "Free Lipo Registry" form; preparing a short story or statement as to "why you, a friend or family member, deserve free lipo with Pacific Lipo," and, most importantly; "Promot[ing] Yourself" with tips on how to "increase your chances" and "Promote Your Free Lipo Story." Some of the Pacific Liposculpture testimonials and Yelp¹⁰ reviews refer to Physician Assistant R.D. as "Dr. Rod" and "doc."

⁷ The liposculpture procedures, which are, in actuality, liposuction surgeries, were performed at "a swank office in Beverly Hills' Rodeo Drive" where the liposuction was advertised as an advanced technique with "mailings showing before-and-after pictures of women's love handles, thighs and abdomens." See generally, *What to Know Before Going Under the Liposuction Knife* at www.wsj.com/news/articles/SB123483369375096025 and *Nipped, Tucked and Wide Awake* at www.nbcnews.com/id/40950317/ns/health-womens_health/#.VI9n5tF0yic.

⁸ The asterisk (*) advised potential customers that "Patient may be subject to additional BMI [body mass index] charges."

⁹ To "promote yourself," Pacific Liposculpture recommends that contestants "Post that same essay on our various Social Media pages and encourage your friends and family to like your story and comment on why you deserve it. The more involved you become with Pacific Lipo and the more support your story has, the better your chances of winning!" Pacific Liposculpture also offers "Some (continued...)

26. Pacific Liposculpture's website at www.pacificlipo.com identified Physician Assistant R.D. as the "Director of Surgery for various lipo procedures at Pacific Liposculpture, a cosmetic surgery firm based out of San Diego, California" and made numerous references to Physician Assistant R.D. as the "Director of Surgery" for Pacific Liposculpture. The Pacific Liposculpture website, which was owned and managed by Physician Assistant R.D., boasts of "over 15,000 procedures performed" and has several photographs and videos of Physician Assistant R.D. in his surgical scrubs. The website, among other things, states that patients can have "virtual consultations," it provides before and after photos, has links to the Pacific Liposculpture blog, has various pricing and financing options, and provides the option for potential patients and/or actual patients to view and/or create patient testimonials. While on the website, potential patients can click on the "Video and Photos" tab where they can view various videos and photo galleries or they can "visit [Pacific Liposculpture's] YouTube Channel to see more videos of different procedures & testimonials." The website's photo galleries include the "Pacific Lipo Before & After Pictures" and the "Happy Patients with Happy Results" gallery which contains photographs of patients by themselves or, in some of the photos, with Physician Assistant R.D. next to the patient in his surgical scrubs with one or both of them holding a canister or canisters of the fat that was extracted from the patient's body. The Pacific Liposculpture videos, which can be viewed online or by using the link to YouTube, promote, among other things, Physician Assistant R.D.'s skill in performing the liposculpture procedures, the benefits of the liposculpture procedure, and the pain-free nature of liposuction. In some of the

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Tips on How to Promote Your Free Lipo Story" which includes "[s]hare your story on our Facebook wall, have friends support you by 'liking' your story and commenting on why you deserve free lipo [include a picture to grab more attention][;] [p]ost your Story on our Events page on the Pacific Lipo Blogspot. Your friends can reply to your post and comment on why you deserve free lipo[;] [and] [g]o all out and take a photo of video of yourself sharing your story and post it on YouTube with the title of your essay. You can promote that link on our Facebook and have your friends vote not only on Facebook, but on your YouTube as well!" (See http://roddavispa.wordpress.com) (12-12-2014).

¹⁰ Physician Assistant R.D. clarified some of these references on Yelp with some posts of his own in August 2014, which stated, in pertinent part, "[j]ust a reminder that I'm a Physician Assistant so no need to call me Doctor" or words to that effect. The references to respondent as "Dr. Rod" or "doc" had remained in place for approximately two to three years before being clarified by respondent.

videos, "sexy Terry" tells the viewing public the liposuction is "no pain, all gain." Another patient informs viewers that the liposuction "feels like a day at the spa...like getting a massage," there is "no pain, no discomfort" and she's "just hanging out." In another video, viewers can watch "Terry," one of Pacific Liposculpture's medical assistants, get liposuction on her inner thigh area. In many of these videos, Physician Assistant R.D. is prominently featured in his surgical scrubs while performing the actual liposuction (liposculpture) surgeries on patients. In some of these videos, Physician Assistant R.D. introduces himself as the "Director of Surgery" for Pacific Liposculpture and may or may not identify himself as a physician assistant. On those limited occasions in the videos when Physician Assistant R.D. does makes reference to his physician assistant qualifications, it is through the use of a "PA-C" next to his name in the text of the video, or there is a passing reference to him being a "P.A." with no indication to the general public as to what "PA-C" or "P.A." means or that he is not a licensed physician. In some of the videos, there is no introduction of Physician Assistant R.D. at all and no mention of Physician Assistant R.D.'s qualifications or that he is a physician assistant, and not a licensed physician.

PATIENT L.W.

- At some time in March or early-April 2011, patient L.W., who resided at the time in Arizona, became interested in possibly having liposuction on his abdomen area. Patient L.W. searched the internet and came across the website for Pacific Liposculpture which, among other things, advertised Physician Assistant R.D. as the Director of Surgery. Patient L.W. was impressed with the appearance of the facilities as advertised on the website. Patient L.W. called Pacific Liposculpture and spoke to Stephanie who informed him Pacific Liposculpture only used state-of-the-art equipment and they had done over 10,000 procedures. After reviewing the website, and speaking with Stephanie, patient L.W. was impressed, made a \$250 deposit, and scheduled an appointment to have his liposuction performed at Pacific Liposculpture.
- 28. On or about April 14, 2011, patient L.W. arrived from Arizona and drove himself to Pacific Liposculpture for his initial consultation and to have his liposuction surgery performed on his abdomen and love-handle areas. Prior to the consultation, patient L.W. was given paperwork to fill out which included, but was not limited to, a Payment Agreement and Cancellation Form

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and an Informed Consent Liposuction form. The Payment Agreement and Cancellation Form provided that "[p]ayment is due in full prior to Liposuction surgery" and that "if you cancel your appointment with less than 72 hours notice, your credit card will be charged a \$500.00 fee." By this point in time, of course, the 72 hour period to cancel had already expired. The Informed Consent Liposuction form indicated, among other things, that there were various risks associated with liposuction and "I hereby authorize Dr. Jerrell Borup, MD, [Physician Assistant R.D.], PA, and such assistants as may be selected to perform the procedure or treatment." ¹¹ The "Risks of Liposuction Surgery" section of the Informed Consent Liposuction form noted that "every surgical procedure involves a certain amount of risk, and it is important that you understand the risks involved with liposuction" which were described on the informed consent form as including, among other things, "to have a bleeding episode during or after surgery, infection, skin scarring, diminishment or loss of skin sensation, skin discoloration and swelling, skin contour irregularities, seroma, "fluid overload or systemic reaction" associated with the tumescent liposuction surgery. 12 pulmonary complications described as being a "possibly fatal complication," 13 "burns and tissue damage" from the ultrasonic energy and cannulas, "cannula fragmentation," and other "unknown risks" associated with the liposuction surgery.

¹¹ This provision of Pacific Liposculpture, Inc.'s informed consent form was later amended. The amended section, which was used for some other patients, such as patient S.M. herein, provided "I hereby authorize Dr. Jerrell Borup, MD, <u>OR</u> [Physician Assistant R.D.] and such other qualified assistants as may be selected to perform the procedure or treatment." In truth and fact, Physician Assistant R.D. was the one who was performing all of the liposuction procedures. (Emphasis added.)

¹² Specifically, the "Tumescent liposuction" section of the informed consent form stated, "Tumescent liposuction – There is the possibility that large volume of fluid containing dilute local anesthetic drugs and epinephrine that is injected into fatty deposits during surgery may contribute to fluid overload or systemic reaction to these medications. Additional treatment including hospitalization may be necessary." The "Tumescent liposuction" section of the informed consent forms were the same for patients N.C. and S.M. and, thus, will not be repeated herein.

¹³ Specifically, the "pulmonary complications" section of the informed consent form stated, "Pulmonary complications – Fat embolism syndrome occurs when fat droplets are trapped in the lungs. This is a very rare and possibly fatal combination of suction assisted lipectomy. Pulmonary complications may occur secondary to both blood clots (pulmonary emboli) or partial collapse of the lungs after general anesthesia. Should either of these complications occur, you may require hospitalization and additional treatment. Pulmonary emboli can be life threatening or fatal in some circumstances."

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- After signing the pre-procedure paperwork, patient L.W. was escorted into the room where his liposuction surgery would be performed, where his blood pressure, height and weight were recorded, and measurements were taken of his upper and lower abdomen. When Physician Assistant R.D. arrived, he told patient L.W. that he was the "Chief of Surgery" and further stated he was a physician's assistant and not a medical doctor. At this point, patient was not overly concerned that Physician Assistant R.D. would be performing his liposuction procedure because he was told that the scheduled liposuction was a relatively minor procedure, Physician Assistant R.D. claimed to have performed liposuction on numerous occasions, and he was told there was going to be a supervising physician onsite. The pre-surgery consultation with Physician Assistant R.D. lasted approximately ten minutes.
- 30. According to Physician Assistant R.D.'s Liposuction Procedure Note of April 14, 2011, Physician Assistant R.D. gave patient L.W. 100 milligrams (mg) of Atenolol and infiltrated him with 2400 cc's of tumescent anesthetic solution in preparation for the liposuction surgery targeting his upper and lower abdomen areas and his love handle areas. As part of the liposuction procedure, Physician Assistant R.D. removed 350 cc's of fat from the left abdomen area, 350 cc's from the right abdomen area; 200 cc's from the left love handle area and 200 cc's from the right love handle area. According to patient L.W., he experienced moderate pain during the procedure which required additional pain medication. There was no supervising physician present when the liposuction was performed and the patient never spoke with any supervising physician during his course of treatment. The procedure had a notation of follow-up in seven days. The certified medical records fail to indicate that any follow-up took place seven days later.¹⁴
- 31. Approximately three to four months after the liposuction surgery, patient L.W. was still feeling pain around the areas where the liposuction was performed and placed a call into Physician Assistant R.D. According to patient L.W., Physician Assistant R.D. assured him

¹⁴ There was also no notation of any follow up at the one, three or six month post-operation timeframes.

¹⁵ Patient L.W. was initially advised he might have slight pain around the procedure areas for three to four months.

everything was fine and the pain may last more than three to four months. Physician Assistant R.D. recommended that patient L.W. take Aleve twice-a-day to relieve any inflammation he might be experiencing and told patient L.W. to call back at the nine to twelve month post-operative mark if he was still experiencing pain. According to patient L.W., he had never experienced such pain prior to the liposuction surgery and he could no longer do anything which required much physical activity due to the pain. The certified medical records fail to indicate that Physician Assistant R.D. followed up at this time with respondent, his supervising physician, despite the fact that the Delegation of Service Agreement (DSA) provides, under the "Consultation Requirements" section, that "[t]he PA is required to always and immediately seek consultation on the following types of patients and situations...[c]omplications with anesthesia, sedation or procedure." 16

Assistant R.D. Patient L.W. complained of lumpiness in his abdomen area and that he was still experiencing pain approximately 10 months after his liposuction surgery. According to Physician Assistant R.D., patient L.W. disclosed to Physician Assistant R.D. that he had a history of Crohn's disease. Physician Assistant R.D. examined the liposuction areas and could see no problems with any lumpiness. Physician Assistant R.D.'s assessment was that "there was a good outcome from the lipo procedure." In regard to the complaint of residual pain, Physician Assistant R.D. recommended that patient L.W. follow-up with his physician regarding his Crohn's disease and/or see a psychiatrist to discuss the issue of his pain in further detail. Physician Assistant R.D. also recommended endermologie, a mechanical messaging process, which purportedly can be used to address lumpiness or uneven skin appearance. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.

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The DSA provides that Physician Assistant R.D. must "always and immediately" seek consultation with his supervising physician in the following situations: "high risk patients," "complications with anesthesia, sedation or procedure," "patient's desire to see physician" or "any condition which the PA feels exceeds his/her ability to manage, etc." (DSA, at ¶ V.)

- 33. On or about January 10, 2013, patient L.W. underwent umbilical hernia repair surgery in Phoenix, Arizona, with placement of a graft to repair a "small umbilical hernia sac."
- 34. On or about February 6, 2013, patient L.W. requested a copy of his medical records from Physician Assistant R.D. and stated he was still having soreness and swelling which he attributed to the liposuction surgery. According to Physician Assistant R.D., patient L.W. told him that "you must have clipped something" and further indicated that he had been to several doctors and "they can't find anything." Physician Assistant R.D. recommended that patient L.W. continue to follow up with his physicians and sent the patient a copy of his medical records.
- 35. On or about February 15, 2013, Physician Assistant R.D. added an "addendum" to his follow-up note of February 6, 2013, indicating "F/U [follow-up] Dr. Borup today pt [patient] still c/o [complains of] soreness & to F/U [with] MD [doctor] in AZ [Arizona]." There was no chart notation to indicate specifically what was discussed with Physician Assistant R.D.'s supervising physician and what, if any, recommendations there were from respondent as the supervising physician.

PATIENT N.C.

36. On or about September or early-October 2011, patient N.C., a then-25 year old female, contacted Pacific Liposculpture about liposuction surgery for her abdomen area and to get "a better idea of what the financials/costs will be." The patient was preparing to go on her honeymoon to Cancun, Mexico, and wanted to be "bathing suit ready." Patient N.C. spoke with a Pacific Liposculpture associate by the name of Stephanie who advised her the total cost of the liposuction would be \$1,500 which included the costs for the procedure, medications and any required body wraps. Patient N.C. emphasized to Stephanie that she needed to be completely healed within three weeks or she would not go through with the procedure. Stephanie told patient N.C. she would be able to return to work in two days and also told her that one of her co-workers had a similar procedure done and was able to return to work the next day. Patient N.C. was advised, among other things, that her liposuction would be done under a local anesthesia, the procedure would be performed by Physician Assistant R.D., who would be overseen by a physician, and that Physician Assistant R.D. had 10 to 15 years experience performing

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liposuctions with no complaints or patient deaths. After several conversations with Stephanie, patient N.C. felt comfortable enough to proceed with the liposuction and an appointment was scheduled.

- 37. On or about October 13, 2011, patient N.C. arrived at Pacific Liposculpture for her liposuction procedure. She checked-in and was charged \$1,500 for the liposuction that was to be performed. Patient N.C. was also provided with an informed consent form that she signed which indicated "I hereby authorize Dr. Jerrell Borup, MD, [Physician Assistant R.D.], PA, and such assistants as may be selected to perform the procedure or treatment." The "Risks of Liposuction Surgery" section of the Informed Consent Liposuction form noted that "every surgical procedure involves a certain amount of risk, and it is important that you understand the risks involved with liposuction" which were described on the informed consent form as including, among other things, "to have a bleeding episode during or after surgery, infection, skin scarring, diminishment or loss of skin sensation, skin discoloration and swelling, skin contour irregularities, seroma, "fluid overload or systemic reaction" associated with the tumescent liposuction surgery, pulmonary complications described as being a "possibly fatal complication," "burns and tissue damage" from the ultrasonic energy and cannulas, "cannula fragmentation," and other "unknown risks" associated with the liposuction surgery.
- 38. Patient N.C. was sent to a room where she changed into a gown, was weighed, and her vital signs were obtained and recorded. Shortly thereafter, Physician Assistant R.D. came in and "marked [her] problem areas" around patient N.C.'s abdomen and then told her he would only feel comfortable doing the procedure if patient N.C. chose the upper and lower part of her abdomen for "the best look" which she agreed to do based on Physician Assistant R.D.'s recommendation. Physician Assistant R.D. told patient N.C. that she would not feel anything during the procedure. According to patient N.C., the entire encounter with Physician Assistant R.D. lasted approximately two minutes with no focused physical examination nor any work-up in regard to, among other things, patient N.C.'s tachycardia condition. Patient N.C. was then escorted to the room where the liposuction was to be performed.

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any supplies that she might need postoperatively.

17 Prior to the procedure, patient N.C. advised Physician Assistant R.D. she had a history of heart problems which she identified as tachycardia.

18 The DSA provided that volume range for the "Anesthetic Lidocaine with epinephrine" for the lower abdomen was 200-700 cc's and the upper abdomen was 200-700 cc's. Patient N.C. was infiltrated with a total of 3200 cc's during the course of the liposuction on her upper and lower abdomen areas.

Once in the liposuction procedure room, patient N.C. was told to lie down and

recalled hearing country music playing loudly in the background. According to patient N.C., she

was given two pills "to keep her heart calm." Insertion points were identified for the insertion

of the cannulas that would be used to extract the fat from the left and right quadrants of patient

N.C.'s upper and lower abdomen areas. According to Physician Assistant R.D.'s procedure note,

the liposuction to remove the fat in the different quadrants of the upper and lower abdomen areas.

patient N.C. was infiltrated with 3200 cc's of tumescent anesthetic solution prior to performing

The amount of tumescent anesthetic solution exceeded the scope of the Delegation of Services

Agreement (DSA) between respondent and Physician Assistant R.D. 18 Physician Assistant R.D.

removed 800 cc's of fat from the upper abdomen area and 800 cc's from the lower abdomen area.

According to patient N.C., the procedure "was so damn painful that I kept saying over and over to

[Physician Assistant R.D.] that it burned beyond all belief all around [her] mid-stomach area

around the belly button area" at which time more of the tumescent solution was provided with

Physician Assistant R.D. indicating "I'm administering more than I'm supposed to you shouldn't

be feeling this." According to patient N.C., the liposuction procedure continued and she "kept

reiterating how much it stung and felt like a fire under [her] skin." During the procedure, there

signs, pulse oximetry and/ or telemetry. After some time had passed, Physician Assistant R.D.

told patient N.C. "okay we're done, we got two liters out of you, the most I've seen in a long

was no monitoring of patient N.C.'s physiological condition such as frequent checking of her vital

According to patient N.C., prior to the date of her surgery, she was never given a list of instructions as to what supplies she should have purchased in advance and, thus, she was not prepared ahead of time to have those items available to her when she returned home. The certified medical records for patient N.C. do contain a document entitled "Post-Operative Instructions."

40. Later in the evening on or about October 13, 2011, and into the next morning, patient N.C. began experiencing "a lot of pain." In the morning, she changed her dressings which were maxi-pads that had been applied by Physician Assistant R.D. following her liposuction surgery. Over the next few days, patient N.C. contacted Physician Assistant R.D. to report that her heart would not stop racing. Respondent told her it was because of the adrenaline and she was just "too sensitive." Patient N.C. made additional calls to the clinic to complain that "something didn't feel right." Physician Assistant R.D. returned patient N.C.'s call and told her that she should text him photos of her abdomen front and side. She did as instructed and Physician Assistant R.D. texted back that "Everything looks fine." The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time. According to patient N.C., her abdomen "is extremely sore" and she has two lumps in the same area where she was experiencing pain during the liposuction procedure.

PATIENT K.D.

41. On or about March 1, 2012, patient K.D., a then-46 year old female, went to Pacific Liposculpture for liposuction. She identified her areas of concern as her upper and lower abdomen, love handles, back bra area and hips. Patient K.D. was provided with an informed consent form that she signed which indicated "I hereby authorize Dr. Jerrell Borup, MD, [Physician Assistant R.D.], PA, and such assistants as may be selected to perform the procedure or treatment." Patient K.D. was not aware that Physician Assistant R.D. was a physician assistant as opposed to a medical doctor. According to Physician Assistant R.D.'s Liposuction Procedure Note, patient K.D. was given 50 milligrams (mg) of Atenolol²¹ and infiltrated with 2800 cc's of tumescent anesthetic solution in preparation for the liposuction surgery targeting her

²⁰ The certified records provided by respondent were missing page 2 of the informed consent form for patient K.D.

²¹ Atenolol (Tenormin®) is used alone or in combination with other medications to manage hypertension (high blood pressure). It can also be used to prevent angina (chest pain) and improve survival after a heart attack. Atenolol is in a class of medications called beta blockers. It works by relaxing blood vessels and slowing heart rate to improve blood flow and decrease blood pressure.

back bra and inner thigh areas. As part of the liposuction procedure, Physician Assistant R.D. removed 200 cc's of fat from the left back bra area, 200 cc's from the right back bra area; 200 cc's from the left inner thigh, and 200 cc's from the right inner thigh. The procedure note indicates patient K.D. was given 500 mg of Keflex to be used for three days and subsequently requested pain medication with Physician Assistant R.D. calling in a prescription of Vicodin® 5/500 to a nearby pharmacy.²²

- 42. On or about March 2, 2012, patient K.D. returned to Pacific Liposculpture for liposuction on her remaining areas of concern which were the upper and lower abdomen and flank (love handle) areas. According to the procedure note for this visit, patient K.D. "requested stronger pain med[ication] prior to procedure" and Physician Assistant R.D. asked her to take two tabs of the previously prescribed Vicodin® plus Ibuprofen to see if that would help her. Patient K.D. was infiltrated with 3700 cc's of tumescent anesthetic solution in preparation of the liposuction procedure targeting her upper and lower abdomen and her love handle areas. As part of the liposuction procedure, Physician Assistant R.D. removed 650 cc's of fat from the left abdominal area; 650 cc's from the right abdominal area; 300 cc's from the left love handle area; and 300 cc's from the right love handle area.
- 43. On or about March 5, 2012, patient K.D. called Physician Assistant R.D. stating she needed "Norco ... or something stronger" to alleviate the pain she was experiencing in her legs, midsection, abdomen and love handle area. Physician Assistant R.D. noted in a "follow-up note" that patient K.D. had a history of pain management issues, that he did not believe that increasing her pain medications would help and instead she should follow up with a pain management specialist or go to the emergency room. Physician Assistant R.D. did, however, call in a prescription of hydrocodone (Norco®) 5/325 mg for patient K.D. 23 Physician Assistant R.D. also

²² APAP/Hydrocodone Bitartrate (Lorcet®, Lortab®, Vicodin®, Vicoprofen®, Tussionex® and Norco®) is a hydrocodone combination of hydrocodone bitartrate and acetaminophen which is a Schedule III controlled substance pursuant to Health and Safety Code section 11056, subdivision (e), and a dangerous drug pursuant to Business and Professions Code section 4022. When properly prescribed and indicated, it is used for the treatment of moderate to severe pain. The procedure note does not list the quantity of Vicodin® prescribed by respondent to patient K.D.

There is no indication in the follow-up note of the quantity of this Norco prescription nor (continued...)

recommended that patient K.D. continue with icing and continue to wear her spanx-type garment. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.

44. On or about April 19, 2012, patient K.D. called Physician Assistant R.D. and indicated she had a hernia and was still experiencing pain. Physician Assistant R.D. requested that patient K.D. send him photographs via text message (text) so he could compare the current photographs with the photographs taken on the day of her liposuction procedure to see if her shape had improved. Physician Assistant R.D. and patient K.D. exchanged e-mails and/or texts. In one communication at 8:16 p.m., patient K.D. wrote:

"I agree I look better but my stomach is still bloated and not what I expected. I never knew I would still be in excruciating pain almost 2 months later with a hernia from a puncture in my muscles, losing another months work to recuperate from the hernia surgery. I am very disappointed in the surgery performed at your office. I should never have to have [sic] surgery to repair a hernia I got as a result of a puncture in my muscle."

Patient K.D. sent another communication at 8:19 p.m., which stated, "Pain, suffering and additional cost to repair damage done to me in addition to the \$5900.00 I paid to you is just not an acceptable outcome to something I was assured was simple surgery."²⁴ The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.

PATIENT S.M.

45. On or about February 22, 2013, patient S.M., a then-42 year old female, had her first visit and consultation at Pacific Liposculpture where she was seen by Physician Assistant R.D. Patient S.M. decided to seek a consultation at Pacific Liposculpture because she was looking to have some liposuction done on her inner thighs and was impressed with the professional

^{(...}continued) any instructions given to patient K.D. regarding the schedule for taking the Norco.

²⁴ There were a few more communications between patient K.D. and Physician Assistant R.D. on the evening of April 19, 2012. Physician Assistant R.D. ultimately ended the communications after noting "[t]his conversation is not going well so I prefer to let our attorneys handle this moving forward. Sometimes lawyers are necessary and this appears to be one of those cases."

appearance of the Pacific Liposculpture medical office. During this visit, patient S.M. filled out financial forms and a personal medical history form prior to meeting with Physician Assistant R.D. who examined her inner thighs and explained the liposuction procedure that would be performed. No focused physical examination of patient S.M. was performed by Physician Assistant R.D. at this visit, nor was patient S.M. provided with any informed consent documents to review.

- 46. In approximately mid-March 2013, patient S.M. called Pacific Liposculpture and spoke with "Stephanie" and advised her that she wanted to proceed with the liposuction on her inner thighs and an appointment was made for the procedure.
- 47. On or about April 17, 2013, patient S.M. arrived for her scheduled liposuction surgery to be performed on her inner thighs. After paying the \$1,500 fee for her procedure, patient S.M. was given an informed consent form which she had little time to review before her procedure was scheduled to begin. The "Risks of Liposuction Surgery" section of the Informed Consent Liposuction form noted that "every surgical procedure involves a certain amount of risk, and it is important that you understand the risks involved with liposuction" which were described on the informed consent form as including, among other things, "to have a bleeding episode during or after surgery, infection, skin scarring, diminishment or loss of skin sensation, skin discoloration and swelling, skin contour irregularities, seroma, "the possibility of complications, injury, and even death from all forms of surgical anesthesia" including "local anesthesia," "fluid overload or systemic reaction" associated with the tumescent liposuction surgery, "malposition, scarring, unacceptable appearance or loss of the umbilicus (navel) may appear," and pulmonary complications described as being a "possibly fatal complication." 25

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²⁵ Specifically, the "pulmonary complications" section of the informed consent form stated, "Pulmonary complications – Fat embolism syndrome occurs when fat droplets are trapped in the lungs. This is a very rare and possibly fatal complication of suction assisted lipectomy. Pulmonary complications may occur secondary to both blood clots (pulmonary emboli) or fat deposits (fat emboli). Should either of these complications occur, you may require hospitalization and additional treatment. Pulmonary emboli can be life threatening or fatal in some circumstances."

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- 48. No detailed and/or focused physical examination was conducted on patient S.M. by Physician Assistant R.D. on or about April 17, 2013. Patient S.M. was prepped for the procedure and given 200 mg of Atenolol. Patient S.M. was then infiltrated with 1650 cc's of tumescent anesthetic solution in preparation of the liposuction procedure which targeted her inner thigh areas. As part of the liposuction procedure, Physician Assistant R.D. removed 275 cc's of fat from the left inner thigh area and 275 cc's from the right inner thigh area. After the liposuction procedure, gauze was wrapped around patient S.M.'s inner thigh area and shortly thereafter she drove herself home.
- 49. On or about May 22, 2013, patient S.M. called Pacific Liposculpture to express her concern about a "pocket of swelling on [her] right thigh" which she wanted to have examined before her next scheduled follow-up appointment of May 29, 2013. A Pacific Liposculpture staff member advised patient S.M. that an earlier appointment could not be scheduled.
- 50. On or about May 29, 2013, patient S.M. had her follow-up appointment in which she again expressed her concern over the swelling in her right inner thigh area. Physician Assistant R.D. examined the inner thigh areas and noted "residual swelling" minimal on the left inner thigh and moderate on the right inner thigh. Physician Assistant R.D.'s assessment was post-operative swelling six weeks post-liposuction. According to Physician Assistant R.D., he recommended patient S.M. remove her compression garment at night but continue to wear it during the day when she was "gravity dependent." Physician Assistant R.D. also advised patient S.M. she could start walking and doing some light weights but recommended that she hold-off on any running. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.
- 51. On or about June 11, 2013, patient S.M. texted Physician Assistant R.D. to express her concern about the "clump" on her right inner thigh area which she reported was "becoming really hard and looks so weird." Patient S.M. texted some photos of her right and left thigh areas which showed a noticeable swollen area on her right inner thigh. Physician Assistant R.D. believed the increased post-operative swelling was possibly exercise induced. Physician Assistant

R.D. recommended that patient S.M. discontinue exercising, that she start on dexamethasone²⁶ and/or methylprednisolone (Medrol® dosepak), ²⁷ continue with the RICE (rest, ice, compression and elevation) protocol, and follow-up in one week. On or about June 14, 2013, patient S.M. texted Physician Assistant R.D. to advise him she had started taking the methylprednisolone. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.

- 52. On or about June 18, 2013, Physician Assistant R.D. texted patient S.M. wondering if there was "[a]ny progress [concerning her right inner thigh area]?" Patient S.M. responded "...[n]one, it hasn't shrunk at all, it's very hard and a couple days ago I woke up and it was starting to form a bruise." She further indicated, among other things, that she had not been exercising, she was following the RICE protocol, and had been taking the methylprednisolone as directed. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.
- 53. On or about June 21, 2013, patient S.M. texted Physician Assistant R.D. to express, among other things, her concern that "the swelling has not gone down at all," her right inner thigh area was now "black and blue" and she asked "is that normal?" The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.
- 54. Between on or about June 21 and August 23, 2013, Physician Assistant R.D. and patient S.M. continued to exchange texts about the continuing problem with her right inner thigh area with patient S.M. wondering "could this lump [on the right inner thigh] be a localized hematoma (collection of blood from bleeding)" and expressing concern that she had read "[t]hese

²⁶ Dexamethasone is a corticosteroid that prevents the release of substances in the body that cause inflammation. Dexamethasone is generally used to treat many different inflammatory conditions such as allergic disorders, skin conditions, ulcerative colitis, arthritis, lupus, psoriasis, or breathing disorders.

²⁷ Methylprednisolone is a steroid that prevents the release of substances in the body that cause inflammation. Methylprednisolone is generally used to treat many different inflammatory conditions such as arthritis, lupus, psoriasis, ulcerative colitis, allergic disorders, gland (endocrine) disorders, and conditions that affect the skin, eyes, lungs, stomach, nervous system or blood cells.

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[hematomas] can take up to a year to absorb and, occasionally, need to be surgically removed?" During this period of time, Physician Assistant R.D. sent occasional follow-up text messages to check on patient S.M.'s progress, and patient S.M. began making arrangements to obtain a second opinion from a physician. The certified medical records fail to indicate that Physician Assistant R.D. consulted with respondent, his supervising physician, about these complications at this time.

55. On or about September 11, 2013, patient S.M. was examined by Dr. M.B., a board certified plastic surgeon, who immediately diagnosed patient S.M. as having a pseudobursa on her right inner thigh which would require surgical removal and corrective surgery. Dr. M.B. also examined patient S.M.'s left thigh and informed her it appeared her left thigh had been over suctioned and she would need a fat transfer to give her left thigh a smooth and even appearance. During the course of Dr. M.B.'s discussions with patient S.M., Dr. M.B. learned that the procedure was not performed by a licensed physician and surgeon but, instead, by a physician's assistant, which caused Dr. M.B. great concern. Dr. M.B. searched the web and found information over the internet in which Physician Assistant R.D. was advertising himself as the "Director of Surgery" at Pacific Liposculpture which Dr. M.B. found very troubling. Dr. M.B. ultimately called Physician Assistant R.D.'s alleged supervising physician, respondent, to report his diagnosis of a pseudobursa on patient S.M.'s right inner thigh and to express his concerns over, among other things, Physician Assistant R.D. performing liposuction procedures and advertising himself as the "Director of Surgery" for Pacific Liposculpture. According to Dr. M.B., Physician Assistant R.D.'s supervising physician, respondent, told Dr. M.B. that it would not happen again.

SECOND CAUSE FOR DISCIPLINE

(Gross Negligence)

56. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (b), 3501 and 3502, of the Code, and California Code of Regulations, title 16, sections 1399.540, 1399.541, and 1399.545, in that he committed gross negligence, as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patients L.W., N.C., K.D., and S.M., as more particularly

alleged hereinafter:

PATIENT L.W.

- 57. Respondent committed gross negligence as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of L.W., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 35, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient L.W.;
 - (c) Physician Assistant R.D.'s informed consent for patient L.W. was improper and inadequate because, among other things, the informed consent was not detailed or thorough, patient L.W. was informed the liposuction procedure would be overseen by an onsite medical doctor when, in truth and fact, it was not, and the written informed consent form stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed solely by Physician Assistant R.D.;
 - (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient L.W. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history and failed to perform a proper and focused preoperative physical examination on patient L.W.; Physician Assistant R.D. premedicated patient L.W. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient L.W. during his liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;

- (e) Physician Assistant R.D. failed to properly perform the liposuction of the abdomen on patient L.W. in a manner that achieved optimal results;
- (f) Physician Assistant R.D. failed to provide proper post-operative care by, among other things, failing to provide patient L.W. with an appropriate compression garment, and failing to respond appropriately to patient L.W.'s post-operative concerns; and
- Assistant R.D.'s practice of medicine with patient L.W. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient L.W. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient L.W.

PATIENT N.C.

- 58. Respondent committed gross negligence as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient N.C., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 26 and 36 through 40, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient N.C.;
 - (c) Physician Assistant R.D.'s informed consent with patient N.C. was improper and inadequate because, among other things, the informed consent was not detailed or thorough, patient N.C. was informed the liposuction procedure would be overseen by a medical doctor when, in truth and fact, it was not, and the written informed consent form stated the liposuction surgery would be performed

by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed by Physician Assistant R.D.;

- (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient N.C. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history from patient N.C. and failed to perform a proper preoperative physical examination of patient N.C.; Physician Assistant R.D. failed to perform a proper work-up regarding patient N.C.'s reported tachycardia; Physician Assistant R.D. premedicated patient N.C. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient N.C. during her liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; respondent failed to terminate the liposuction procedure despite patient N.C.'s repeated complaints of extreme pain; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;
- (e) Physician Assistant R.D. failed to perform the proper procedure on patient N.C. which should have been an abdominoplasty with flank liposuction, and failed to properly perform the liposuction of the abdomen on patient N.C. in a manner that achieved optimal results;
- (h) Physician Assistant R.D. failed to provide proper post-operative care by, among other things, failing to provide patient N.C. with adequate post-operative instructions, failing to provide patient N.C. with an appropriate compression garment, and failed to respond appropriately to patient N.C.'s post-operative concerns of tachycardia; and
- (i) Respondent failed to adequately and appropriately supervise Physician Assistant R.D.'s practice of medicine with patient N.C. because, among other things, respondent lacked the necessary training, experience and/or qualifications

to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient N.C. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient N.C.

PATIENT K.D.

- 59. Respondent committed gross negligence as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient K.D., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 26 and 41 through 44, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent, by failing to properly supervise Physician Assistant R.D., aided and abetted Physician Assistant R.D. in engaging in the unlicensed practice of medicine by performing liposuction surgery on patient K.D.;
 - (c) Physician Assistant R.D.'s informed consent with patient K.D. was improper and inadequate because, among other things, the informed consent was not detailed or thorough, patient K.D. was not clearly informed respondent was a physician assistant, and the written informed consent form stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed solely by Physician Assistant R.D.;
 - (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient K.D. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history and failed to perform a proper and focused preoperative physical examination on patient K.D.; Physician Assistant R.D. premedicated patient K.D. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient K.D. during his liposuction

procedure such as frequent checking of vital signs, pulse oximetry and/ or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;

- (e) Physician Assistant R.D.'s communications with patient K.D. through text messages and/or e-mails were not HIPAA compliant; and
- Assistant R.D.'s practice of medicine with patient K.D. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient K.D. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient K.D.

PATIENT S.M.

- 60. Respondent committed gross negligence as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient S.M., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 26 and 45 through 55, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient S.M.;
 - (c) Physician Assistant R.D.'s informed consent with patient S.M. was improper and inadequate because, among other things, the informed consent was not detailed or thorough and patient S.M. was led to believe the liposuction procedure would be overseen by an onsite medical doctor, when, in truth and fact, it was not, and the written informed consent form did not clearly indicate the

liposuction surgery would be performed solely by Physician Assistant R.D.;

- (d) The pre-operative and perioperative care and treatment for patient S.M. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history from, and failed to perform a proper and focused preoperative physical examination of, patient S.M.; Physician Assistant R.D. premedicated patient S.M. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient S.M. during her liposuction procedure such as frequent checking of vital signs, pulse oximetry and/ or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;
- (e) Physician Assistant R.D. failed to properly perform the liposuction on patient S.M.'s inner thighs in a manner that achieved optimal results;
- (f) Physician Assistant R.D. failed to provide proper post-operative care to patient S.M. by failing to properly manage, respond and/or treat the complication to her right inner thigh which developed a pseudobursa; and
- (g) Physician Assistant R.D.'s communications with patient S.M. through text messages and/or e-mails were not HIPAA compliant; and
- (h) Respondent failed to adequately and appropriately supervise Physician Assistant R.D.'s practice of medicine with patient S.M. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient S.M. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient S.M.

THIRD CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

61. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (c), 3501 and 3502, of the Code, and California Code of Regulations, title 16, sections 1399.540, 1399.541, and 1399.545, in that he committed repeated negligent acts as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patients L.W., N.C., K.D., and S.M., as more particularly alleged hereinafter:

PATIENT L.W.

- 62. Respondent committed repeated negligent acts as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient L.W., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 35, and 57, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient L.W.;
 - (c) Physician Assistant R.D.'s informed consent for patient L.W. was improper and inadequate because, among other things, the informed consent was not detailed or thorough, patient L.W. was informed the liposuction procedure would be overseen by an onsite medical doctor when, in truth and fact, it was not, and the written informed consent form stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed solely by Physician Assistant R.D.;
 - (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient L.W. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history and failed to perform a proper and focused preoperative physical examination on patient L.W.; Physician Assistant R.D. premedicated

patient L.W. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient L.W. during his liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;

- (e) Physician Assistant R.D. failed to properly perform the liposuction of the abdomen on patient L.W. in a manner that achieved optimal results;
- (f) Physician Assistant R.D. failed to provide proper post-operative care by, among other things, failing to provide patient L.W. with an appropriate compression garment, and failing to respond appropriately to patient L.W.'s post-operative concerns;
- Assistant R.D.'s practice of medicine with patient L.W. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient L.W. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient L.W.; and
- (h) Physician Assistant R.D.'s standardized operative report for patient L.W. was inadequate and failed to convey meaningful information.

PATIENT N.C.

63. Respondent committed repeated negligent acts as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient N.C., which included, but was not limited to, the following:

- (a) Paragraphs 18 through 26 and 36 through 40, and 58, above, are hereby incorporated by reference and realleged as if fully set forth herein;
- (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient N.C.;
- (c) Physician Assistant R.D.'s informed consent with patient N.C. was improper and inadequate because, among other things, the informed consent was not detailed or thorough and patient N.C. was informed the liposuction procedure would be overseen by a medical doctor when, in truth and fact, it was not, and the written informed consent form stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed by Physician Assistant R.D.;
- treatment for patient N.C. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history and failed to perform a proper preoperative physical examination on patient N.C.; Physician Assistant R.D. failed to perform a proper work-up regarding patient N.C.'s reported tachycardia; Physician Assistant R.D. premedicated patient N.C. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient N.C. during her liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; Physician Assistant R.D. failed to terminate the liposuction procedure despite patient N.C.'s repeated complaints of extreme pain; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;
- (e) Physician Assistant R.D. failed to perform the proper procedure on patient N.C. which should have been an abdominoplasty with flank liposuction, and failed to properly perform the liposuction of the abdomen on patient N.C. in a

manner that achieved optimal results;

- (f) Physician Assistant R.D. failed to provide proper post-operative care by, among other things, failing to provide patient N.C. with adequate post-operative instructions, failing to provide patient N.C. with an appropriate compression garment, and failed to respond appropriately to patient N.C.'s post-operative concerns of tachycardia;
- Assistant R.D.'s practice of medicine with patient N.C. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient N.C. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient N.C.; and
- (h) Physician Assistant R.D.'s standardized operative report for patient N.C. was inadequate and failed to convey meaningful information.

PATIENT K.D.

- 64. Respondent committed repeated negligent acts as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient K.D., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 26, 41 through 44, and 59, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient K.D.;
 - (c) Physician Assistant R.D.'s informed consent with patient K.D. was improper and inadequate because, among other things, the informed consent was not detailed or thorough, patient K.D. was not clearly informed Physician Assistant

R.D. was a physician assistant, and the written informed consent form stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed solely by Physician Assistant R.D.;

- (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient K.D. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history and failed to perform a proper and focused preoperative physical examination on patient K.D.; Physician Assistant R.D. premedicated patient K.D. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient K.D. during his liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;
- (e) Physician Assistant R.D.'s communications with patient K.D. through text messages and/or e-mails were not HIPAA compliant;
- Assistant R.D.'s practice of medicine with patient K.D. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient K.D. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient K.D.; and
- (g) Physician Assistant R.D.'s standardized operative report for patient K.D. was inadequate and failed to convey meaningful information.

PATIENT S.M.

- 65. Respondent committed repeated negligent acts as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patient S.M., which included, but was not limited to, the following:
 - (a) Paragraphs 18 through 26, 45 through 55, and 60, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Respondent aided and abetted Physician Assistant R.D. to engage in the unlicensed practice of medicine by performing liposuction surgery on patient S.M.;
 - (c) Physician Assistant R.D.'s informed consent with patient S.M. was improper and inadequate because, among other things, the informed consent was not detailed or thorough and patient S.M. was led to believe the liposuction procedure would be overseen by an onsite medical doctor, when, in truth and fact, it was not, and the written informed consent form did not clearly indicate the liposuction surgery would be performed solely by Physician Assistant R.D.;
 - (d) Physician Assistant R.D.'s pre-operative and perioperative care and treatment for patient S.M. was inadequate and/or represented a disregard for patient safety because, among other things, Physician Assistant R.D. failed to obtain a detailed history from, and failed to perform a proper and focused preoperative physical examination of patient S.M.; Physician Assistant R.D. premedicated patient S.M. with Atenolol which blocks the physiological response to tachycardia; there was no physiological monitoring of patient S.M. during her liposuction procedure such as frequent checking of vital signs, pulse oximetry and/or telemetry; the emergency crash cart in the procedure room was not fully stocked; the procedures for instrument sterilization were inadequate; and the liposuction surgery was not performed in an accredited surgery center;
 - (e) Physician Assistant R.D. failed to properly perform the liposuction on patient S.M.'s inner thighs in a manner that achieved optimal results;

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- (f) Physician Assistant R.D. failed to provide proper post-operative care to patient S.M. by failing to properly manage, respond and/or treat the complication to her right inner thigh which developed a pseudobursa;
- (g) Physician Assistant R.D.'s communications with patient S.M. through text messages and/or e-mails were not HIPAA compliant;
- (h) Respondent failed to adequately and appropriately supervise Physician Assistant R.D.'s practice of medicine with patient S.M. because, among other things, respondent lacked the necessary training, experience and/or qualifications to delegate liposuction surgery to Physician Assistant R.D. who similiarly lacked the necessary training, experience and/or qualifications to perform such surgery. Moreover, the manner and degree of respondent's alleged supervision was inadequate, placed patient S.M. at risk, and enabled Physician Assistant R.D. to operate autonomously in the practice of medicine which included, but was not limited to, performing liposuction surgery on patient S.M.; and
- (i) Physician Assistant R.D.'s standardized operative report for patient S.M. was inadequate and failed to convey meaningful information.

FOURTH CAUSE FOR DISCIPLINE

(False and/or Misleading Advertising)

- 66. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by sections 651, 2271 and 17500, of the Code, and California Code of Regulations, title 16, sections 1399.541, in that he has made and disseminated, or caused to be made and disseminated, false and/or misleading advertising in violation of section 17500 of the Code, as more particularly alleged in paragraphs 23 through 55, above, which are hereby incorporated by reference and realleged as if fully set forth herein. The false and/or misleading statements include, but are not limited to the following:
 - (a) Physician Assistant R.D. being identified as the "Director of Surgery" or words to that effect which is misleading because it conveys, among other things, that Physician Assistant R.D. has a higher level of education, training and/or

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experience than he actually possesses, and/or that he is a licensed physician and surgeon;

- (b) Failing to clearly define the term "P.A.," "PA-C" or other words to that effect whenever used in any advertising which is misleading because many potential or actual patients would not know the meaning of these terms and would assume, especially with the title of "Director of Surgery," that Physician Assistant R.D. has a higher level of education, training and/or experience than he actually possesses and/or that he is a licensed physician and surgeon;
- (c) False and/or misleading statements concerning respondent's training and qualifications in the area of liposuction surgery including, but not limited to, "that Dr. Borup, along with his highly trained liposuction team, will help to minimize your risks while offering you the best possible care all under local anesthesia," that "[b]ecause of Dr. Borup's advanced training and experience in liposuction technology, Pacific Lipo's procedures significantly reduce pain, swelling and bruising, while providing you with smoother results, tighter skin, permanent improvement and no unsightly scars," that "Dr. Borup supervises a team of highly trained liposuctionists with a combined experience of well over 10,000 lipo procedures," that "[a]s Medical Director of Pacific Liposculpture, Dr. Borup offers patients a lifetime of experience and knowledge in his state-of-the-art outpatient surgical setting." The aforementioned statements were false and/or misleading because, among other things, they misrepresented and inflated respondent's training, experience and/or qualifications in the area of liposuction surgery and were designed to give patients the impression that respondent, was, in fact, a highly-qualified physician in the area of liposuction surgery, would be performing the liposuction surgery or, at a minimum, would be closely supervising any liposuction surgery that was performed. In truth and fact, respondent had no "advanced training and experience in liposuction technology," was not interested in performing any procedures, never performed a single liposuction procedure

while at Pacific Liposculpture, and his supervision, if any, was minimal;

- (d) Failing to timely correct statements in patient testimonials and/or Yelp reviews, that could be accessed on or through the Pacific Liposculpture website, which referred to Physician Assistant R.D. as "Dr. Rod" and/or "doc," or other words to that effect. These statements were false and/or misleading because they inferred that Physician Assistant R.D. had a higher level of education and/or training than he actually possesses and/or that he is a licensed physician and surgeon instead of a physician's assistant;
- (e) Photographs of Physician Assistant R.D. in surgical scrubs and/or photographs or video of Physician Assistant R.D. performing liposuction surgery, which combined with the other false and/or misleading advertising referenced herein, led patients to believe that Physician Assistant R.D. possessed the education, training and/or qualifications to legally perform the liposuction procedures; and
- (f) The posting of patient testimonials which were not a true and accurate description of liposuction surgery and any risks associated therewith which state, among other things, that liposuction is "no pain, all gain," that liposuction "feels like a day at the spa…like getting a massage," that there is "no pain, no discomfort" or other words to that effect which falsely convey the procedure is pain free and without risk of any surgical or other complications.

FIFTH CAUSE FOR DISCIPLINE

(Dishonesty and/or Corruption)

67. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (e), of the Code, and California Code of Regulations, title 16, sections 1399.541, in that he committed an act or acts of dishonesty and/or corruption in regard to his false and deceptive advertising, as more particularly alleged in paragraphs 23 through 55, and 66, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

SIXTH CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Medical Record)

- 68. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2266, of the Code, and California Code of Regulations, title 16, section 1399.541, in that respondent failed to maintain adequate and accurate records as the supervising physician, by failing to properly supervise Physician Assistant R.D., in his care and treatment of patients L.W., N.C., K.D., and S.M., as more fully particularly alleged herein:
 - (a) Paragraphs 27 through 65, above, are hereby incorporated by reference and realleged as if fully set forth herein;
 - (b) Physician Assistant R.D.'s operative reports for patient's L.W., N.C., K.D. and S.M. were inadequate and failed to convey meaningful information; and
 - (c) Physician Assistant R.D.'s informed consent forms for patients L.W., N.C., K.D. were improper and inadequate because, among other things, they falsely stated the liposuction surgery would be performed by respondent and Physician Assistant R.D. when, in truth and fact, the surgery was performed solely by Physician Assistant R.D.; and the written informed consent form for patient S.M. did not clearly indicate the liposuction surgery would be performed solely by Physician Assistant R.D..

SEVENTH CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

69. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, of the Code, in that he has engaged in conduct which breached the rules or ethical code of the medical profession or which was unbecoming a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine, as more particularly alleged in paragraphs 18 through 68, above, are hereby incorporated by reference and realleged as if fully set forth herein.

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